

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

PATENT  
APPLICATION  
JCE60 U.S. PTO

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

## **RULE 53(f) NO DECLARATION**

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 279247

M#

000121 FH

Client Ref

Sir:

Date:

April 10, 2001

1. This is a Request for filing a new Patent Application ☐ Design ☒ Utility entitled:

2. (Complete) Title:

DISPERSIONS CONTAINING PYROGENIC OXIDES

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 9 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 18 Numbered claim(s); and

7. ☐ Drawings: sheet(s) 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Europe

Application No.	Filing Date	Application No.	Filing Date
(1) 00 107 817.9	April 12, 2000	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

12. ☐ This is a reissue of Patent No. \_\_\_\_\_

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_  
No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_  
No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement **not essential** to make claim)

17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Thomas	SCHARFE	
	First	Middle Initial	Family Name
Residence	Alzenau	GERMANY	GERMANY
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Mailing Address			
(include Zip Code)			

(2) Inventor	Rainer	GOLCHERT	
	First	Middle Initial	Family Name
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Mailing Address			
(include Zip Code)			

(3) Inventor	Helmut	MANGOLD	
	First	Middle Initial	Family Name
Residence	Rodenbach	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



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Atty/Sec: MAS/CJT

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments